

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Name of Financial Institution			
Street Address	City	State	Zip Code
Contact Person Name and Title			
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes ___ No ___ If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes ___ No ___
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes ___ No ___ If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

	
Print Name, Title	Date
Signature	

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

*MS&CO LLC offers a number of scholarships through the Richard B. Fisher Scholarship Program and a Morgan Stanley Fellowship MBA Program. While scholarships have been awarded to residents of L.A., we do not keep historical data on each individual scholarship or the amount awarded

** No formal written policy, but MS&CO LLC encourages opportunities for diverse business owners; please see <http://www.morganstanley.com/about-us/supplier-diversity>

**Attachment for Question #1 - Responsible Banking Investment Monitoring
Program for Investment Banks**

Name of Charitable Organization	Type	Amount (\$)
[Name Not Available]		7,350.00
A Place Called Home		12,500.00
AIDS Walk Los Angeles		6,000.00
ALPFA Inc		64,000.00
Arts High Foundation		6,000.00
C5 Youth Foundation Of		5,000.00
California Science Center Foundation		10,000.00
Carters Kids		1,000.00
Children's Bureau		500.00
Children's Bureau		8,500.00
Children's Hospital Los Angeles		5,000.00
City Year, Inc.		5,000.00
College Track		2,500.00
Fairchild Publishing, LLC		14,000.00
Farhang Foundation		1,000.00
Find Your Light Foundation		5,000.00
First Beverage Group		10,000.00
Friends of Expo Center		1,000.00
GLAAD		25,000.00
Healing Arts Kits		1,000.00
Hillsides		1,500.00
Homeboy Industries		10,000.00
IGN Foundation		5,500.00
In a Perfect World Foundation		10,000.00
Inner-City Arts		15,000.00
Israel Emergency Alliance		2,000.00
Ivie Mcneil & Wyatt		2,500.00
Ladylike Foundation		25,000.00
LCHS Spartan Football		3,000.00
Los Angeles Dodgers Foundation		10,000.00
Los Angeles Police Emerald		1,225.00
Macro Media, LLC		15,000.00
Make-A-Wish Greater Los		5,000.00
Mercy for Animals		1,000.00
Mercy for Animals		1,000.00
Meta Foundation		2,500.00
Mexican American Legal Defense		1,550.00
NAASC-LA		1,700.00
Penske Business Media		5,000.00
Raines Feldman LLP		500.00
Ronald McDonald House Charities of So. CA		2,750.00
Simon Wiesenthal Center Inc		5,000.00
The Blackhouse Foundation		5,000.00
The New Hollywood Women's Goal Group Inc.		1,500.00
The UCLA Foundation		27,500.00
UCLA Foundation		25,000.00
UKRO		5,000.00
University of Southern		15,000.00
University of Southern California		2,000.00
USC Athletics		2,250.00
Waco Theater Center		50,000.00
Women's Guild Cedars-Sinai		2,500.00
Yula Boys		750.00
Total		453,575.00